

## Instructions

Please read carefully the Offer Documents, the Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

### General Instructions

- a. This application form may be used by both resident and non-resident investors.
- b. The application form should be filled in English in BLOCK LETTERS and the applicants' names and address should be provided in full (P.O. Box No. alone is not sufficient). Please tick (✓) in the appropriate box (□), where boxes have been provided.
- c. Application forms that fall under any of the following categories are liable to be rejected. If applicable, the money paid will be refunded/returned without interest.
  - i. Application forms incomplete in any respect or where there is any over writing on the form without the applicant's counter signature
  - ii. Application forms invalid in any respect.
  - iii. Application forms that are not accompanied by a payment instrument for the amount payable, such as a cheque or a demand draft, or an account-to-account transfer instruction to credit the account of DSP Merrill Lynch Mutual Fund (the Fund) (Designated Account) on the same day, in respect of a Direct Deposit Application.
  - iv. Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.
- d. No separate receipt will be issued for the application money. The bank / Investor Service Centre / Collection Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification.
- e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.
- f. All allotments will be provisional, subject to realisation of payment instrument and subject to DSP Merrill Lynch Fund Managers Ltd. (the AMC) having been reasonably satisfied that the Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.
- g. Any subsequent changes in static information like address, bank details, dividend sub option etc. will be based on written communication from investors. These changes will be effected within three days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with previous details only.

### 1. First Applicants' Details:

- a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full.
- b. If the applicant/s already has/have a folio with the Fund, the applicant should fill in the folio number, in order to invest in the same folio. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders, the mode of holding and the folio no. are the same. The names of the holders, the mode of holding, etc., will remain as per the details available in the existing folio.
- c. PAN is mandatory for all applicants. PAN shall be mandatorily mentioned and a copy of the PAN card shall be enclosed for all the applicants, irrespective

of mode of holding provided that it shall be either verified with the originals at the ISCs or be verified/attested by AMFI registered distributors, Bank Managers or Judicial Authorities, failing which the application is liable to be rejected.

- d. Investors who do not have a PAN shall provide an acknowledgement photocopy of Form 49A, and if the investment is for a value of Rs. 50,000/- for more, they shall also provide a duly filled in Form 60/61 along with address proof. If PAN details are not provided or Form 49A or Form 60/61 is not attached as required, the application is liable to be rejected.
- e. If the application is on behalf of a minor, the guardian's name and the date of birth of the minor should be provided.
- f. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation. In the case of NRIs, the address provided should be their overseas address. To receive communication at an Indian address, NRIs should separately communicate Indian address and their folio to the Fund.
- g. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarised or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be, along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and/or Certificate of Registration, as the case may be.

### 2. Joint Applicants' Details:

- a. Joint applicants must fill in all details as requested in the relevant section. Investors shall also attach a photocopy of the proof of address.
- b. PAN card copies of all the applicants, irrespective of the mode of holding, must be enclosed. For more details on the same, please refer 1(c) and 1(d).
- c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be "Joint".
- d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

### 3. Bank Account Details:

- a. To protect the interests of investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund schemes to provide their bank mandate. **Applications without the mandatory bank details are liable to be rejected.**
- b. The Fund has entered into arrangements with certain banks for direct credit of redemption proceeds and dividends, if the Unit Holders have a bank mandate with any of such banks. However, the Fund reserves the right to issue a payment instrument in place of direct credit facility and will not be responsible for any delay on the part of the bank in executing the direct credit. The list of banks is available with the offices of the AMC and is subject to change from time to time without any prior notice.
- c. The investor agrees that the proceeds towards redemptions and dividends will be despatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of direct credit facility, entirely and solely at the risk of the investor. The investor will not hold the Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits, or due to incorrect bank account details provided by the investor.

- d. Any subsequent request for change of bank mandate details will be entertained only if the customer provides any of the following along with the designated change request form.
  - i. copy of a cheque of new bank account or
  - ii. a cancelled cheque pertaining to new bank account or
  - iii. a letter from new bank certifying bank account details including MICR code & IFSC Code (where available)
- e. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within three days of documents reaching the head office of the registrar and any financial transaction request received in the interim will be carried with previous details only.

#### 4. Other Facilities / Email Communication:

- a. Account statements, newsletters, annual reports and other kinds of communication can be sent efficiently via email, according to the investor's preference, as provided for in the application form; hence applicants are encouraged to provide their email address in the application form.
- b. Unit Holders who opt for newsletters or annual reports via email may be required to download them from the Fund's web site, after receiving an email communication from the Fund. If they wish to, Unit Holders may also contact the Fund at any point in time to request for a physical copy of these documents.
- c. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.
- d. PIN facility: The Fund may provide the facility to transact over the telephone or on the Internet. For this purpose, Unit Holders will be provided with a Personal Identification Number (PIN). PIN can be issued to all investors to avail of various services. However only individuals whose mode of holding is "Single" will be allowed to transact over the phone / Internet. Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the relevant Standard Offer Document and tick the relevant box in Section 4 of the application form to request for a PIN. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

#### 5. Investment and Payment Details:

- a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. **In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied.** The Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted.
- b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned.
- c. Payments by cash, stock invests, credit cards, post-dated cheques (except through SIP), outstation cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.
- e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House.

- f. The cheque or demand draft should be drawn in favour of '**DSP Merrill Lynch (Scheme Name)**', as the case may be, and should be crossed '**Account Payee Only**'.
- g. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- h. For NRIs, FIIs, and Persons of Indian Origin investing on a non-repatriable basis, payments may be made by cheques or demand drafts drawn on Non-Resident Ordinary (NRO) accounts or Non-Resident Special Rupee (NRSR) accounts, payable at the city where the application form is submitted.
- i. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.

#### 6. Nomination Details:

- a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Kartas of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot nominate. If Units are held jointly, all joint holders should sign the Nomination Form.
- b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his/her office, or a religious or charitable trust.
- c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time.
- d. Nomination in respect of Units stands rescinded upon redemption of the Units.
- e. Transfer of Units in favour of a nominee shall be valid discharge by the AMC against the legal heir.
- f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee.
- h. The rights in the Units will vest in the nominee only upon the death of all Unit Holders.
- i. Only one nominee per folio is permitted.

#### 7. Declaration & Signatures

- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
- b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
- c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
- d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.

READY RECKONER FOR DSPMLMF SCHEMES

	Schemes	Plans	Options	Sub Options	Minimum Investment Amount	Minimum Additional Purchase		
Equity Funds	DSPML Equity Fund	Regular Plan	Pay Dividend Reinvestment of Dividend Growth*	- - -	5,000 5,000 5,000	1,000 1,000 1,000		
		Institutional Plan	Pay Dividend Reinvestment of Dividend Growth*	- - -	5,00,00,000 5,00,00,000 5,00,00,000	5,00,000 5,00,000 5,00,000		
	DSPML Opportunities Fund	Regular Plan	Growth * Dividend	Payout *    Reinvest	- -	5,000 5,000	1,000 1,000	
		Institutional Plan	Growth * Dividend	Payout *    Reinvest	- -	5,00,00,000 5,00,00,000	5,00,000 5,00,000	
	DSPML Technology.com Fund	-	Growth * Dividend	Payout *    Reinvest	- -	5,000 5,000	1,000 1,000	
	DSPML Top 100 Equity Fund	Regular Plan	Growth * Dividend	Payout *    Reinvest	- -	5,000 5,000	1,000 1,000	
		Institutional Plan	Growth * Dividend	Payout *    Reinvest	- -	5,00,00,000 5,00,00,000	5,00,000 5,00,000	
	DSPML India T.I.G.E.R. Fund (The Infrastructure Growth and Economic Reforms Fund)	Regular Plan	Growth * Dividend	Payout *    Reinvest	- -	5,000 5,000	1,000 1,000	
		Institutional Plan	Growth * Dividend	Payout *    Reinvest	- -	5,00,00,000 5,00,00,000	5,00,000 5,00,000	
	DSPML Tax Saver Fund	-	Growth * Dividend	Payout *    Reinvest	- -	500 500	500 500	
DSPML Small and Mid Cap Fund	Regular Plan	Growth * Dividend	Payout *    Reinvest	- -	5,000 5,000	1,000 1,000		
	Institutional Plan	Growth * Dividend	Payout *    Reinvest	- -	5,00,00,000 5,00,00,000	5,00,000 5,00,000		
Hybrid Funds	DSPML Savings Plus Fund – Conservative	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout *    Reinvest Payout *    Reinvest	10,000 10,000 10,000	1,000 1,000 1,000		
			DSPML Savings Plus Fund – Moderate	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout *    Reinvest Payout *    Reinvest	10,000 10,000 10,000	1,000 1,000 1,000
					DSPML Savings Plus Fund – Aggressive	-	Growth * Monthly Income Payment (MIP) ^ Quarterly Income Payment	- Payout *    Reinvest Payout *    Reinvest
	DSPML Balanced Fund	-	Growth * Dividend	Payout *    Reinvest			- -	5,000 5,000
Debt Funds	DSPML Bond Fund	-	Growth * Dividend Monthly Dividend	- Payout *    Reinvest Payout *    Reinvest	5,000 5,000 5,000	1,000 1,000 1,000		
	DSPML Government Securities Fund	Plan A - Longer Duration Plan	Growth * Dividend Monthly Dividend	- Payout *    Reinvest Payout *    Reinvest	5,000 5,000 5,000	1,000 1,000 1,000		
		Plan B - Shorter Duration Plan	Growth * Dividend Monthly Dividend	- Payout *    Reinvest Payout *    Reinvest	5,000 5,000 5,000	1,000 1,000 1,000		
	DSPML Short Term Fund	-	Growth * Dividend Monthly Dividend Weekly Dividend	- Payout *    Reinvest Payout *    Reinvest -                Reinvest	25,000 25,000 25,000 25,000	1,000 1,000 1,000 1,000		
			DSPML Liquid Plus Fund	Regular Plan	Growth * Weekly Dividend Daily Dividend	- -                Reinvest -                Reinvest	25,000 25,000 25,000	1,000 1,000 1,000
	Institutional Plan	Growth * Weekly Dividend Daily Dividend		Payout *    Reinvest -                Reinvest	5,00,00,000 5,00,00,000 5,00,00,000	1,00,000 1,00,000 1,00,000		
Liquid Funds	DSPML Liquidity Fund	Regular Plan	Growth * Daily Dividend Weekly Dividend	- -                Reinvest -                Reinvest	25,000 25,000 25,000	1,000 1,000 1,000		
		Institutional Plan	Growth * Daily Dividend Weekly Dividend	- -                Reinvest Payout*    Reinvest	5,00,00,000 5,00,00,000 5,00,00,000	1,00,000 1,00,000 1,00,000		
	DSPML Floating Rate Fund	Regular Plan	Growth * Dividend Daily Dividend Weekly Dividend	- Payout        Reinvest * -                Reinvest -                Reinvest	25,000 25,000 25,000 25,000	1,000 1,000 1,000 1,000		
		Institutional Plan	Growth * Dividend Daily Dividend Weekly Dividend	Payout        Reinvest * -                Reinvest -                Reinvest	5,00,00,000 5,00,00,000 5,00,00,000 5,00,00,000	1,00,000 1,00,000 1,00,000 1,00,000		

\* In case the investor does not fill the plan / option / sub-option properly and clearly or in case of incomplete details, non clarity or ambiguity, default options will be considered and applied.

^ Monthly Income is not assured and subject to the availability of distributable surplus.

Distributor Name and ARN	Sub Agent's Code	For Office use only
Distributor Contact No: <b>ARN 2025</b>		

**1. FIRST APPLICANT'S DETAILS**

**Name of First Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**Existing Folio No** \_\_\_\_\_ / \_\_\_\_\_ (If you have an existing folio number with PAN validation, please mention the number here and skip to section 5. Mode of holding will be as per existing folio number.)

**Date of Birth** (Mandatory for minor) DD / MM / YYYY Gender  Male  Female

**Email ID** (in capital) \_\_\_\_\_

**PAN** (1st applicant / guardian) \_\_\_\_\_ **Enclosed**  Attested PAN card copy **OR**  Form 49A acknowledgement copy, and  Form 60/61 (if investment is ≥ Rs. 50,000)

**Name of Guardian** if minor **OR** **Contact Person** for non-individuals \_\_\_\_\_ Title  Mr.  Ms.  M/s

**Address for Correspondence** (P.O. Box address is not sufficient)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_ State \_\_\_\_\_

STD Code \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_

Mobile +91 \_\_\_\_\_

**Overseas Address** (mandatory for NRI / FII applicants in addition to mailing address in India) (P. O. Box address is not sufficient)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Pin Code (Mandatory) \_\_\_\_\_

Country \_\_\_\_\_

**Status of Sole/1st Applicant** (Please tick  )  Resident Individual  NRI (Repatriable)  NRI (on Non-Repatriable basis)  
 Minor through guardian  HUF  Proprietary Firm  Partnership Firm  Trust/Society  Company  Body Corporate  PSI  
 Insurance Company  Fund of Fund  Provident Fund / PF  Bank / FI  FII  Pension Fund  Other \_\_\_\_\_ (Please specify)

**Occupation** (Please  )  Service  Professional  Business  Housewife  Retired  Student  Other \_\_\_\_\_

**2. JOINT APPLICANTS' DETAILS**

**Name of Second Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (2nd applicant ) \_\_\_\_\_ **Enclosed**  Attested PAN card copy **OR**  Form 49A acknowledgement copy, and  Form 60/61 (if investment is ≥ Rs. 50,000)

**Name of Third Applicant** (First / Middle / Surname) \_\_\_\_\_ Title  Mr.  Ms.  M/s

**PAN** (3rd applicant ) \_\_\_\_\_ **Enclosed**  Attested PAN card copy **OR**  Form 49A acknowledgement copy, and  Form 60/61 (if investment is ≥ Rs. 50,000)

**Mode of Holding** (Please tick  )  Single  Anyone or survivor  Joint (Default)

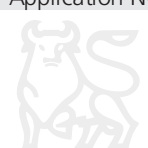
**ACKNOWLEDGEMENT SLIP (To be filled in by the investor) DSP MERRILL LYNCH MUTUAL FUND**

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From \_\_\_\_\_

vide cheque number \_\_\_\_\_

Application No. \_\_\_\_\_



- Checklist** All Investments  Bank Mandate is provided  
 PAN Card / Form 49A acknowledgment copy  
 Form 60/61 with address proof (in the absence of PAN, if investment is ≥ Rs. 50,000)

### 3. BANK ACCOUNT DETAILS (Refer Instruction 3) (Mandatory)

Bank Name												
Bank Account No.								Account Type	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRE	<input type="checkbox"/> NRO
Branch Address												
	City					Pin						
9 Digit MICR code	(This is a 9 digit number next to your cheque number)					IFSC code: (11 digit)						

### 4. OTHER FACILITIES / EMAIL COMMUNICATION (Please ✓)

I wish to receive the following documents via email in lieu of physical document(s)  
 Account Statement  Newsletter & Annual Report  Other statutory information  I would like to receive a PIN (for telephone & internet transactions, as and when started)

### 5. INVESTMENT AND PAYMENT DETAILS (Refer Instruction 5)

(Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy)

Scheme Name	Plan	Option & Sub Option
Cheque / DD No.	Cheque/DD Date	D D / M M / Y Y Y Y
Amount of Cheque/DD (Rs.) (i)	Drawn on Bank/ Branch Name	
DD charges, if any, (Rs.) (ii)		
Total Amount In Words (Rs.) (i)+(ii)		
In figures (Rs.)	Account Type (Please ✓)	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR

Scheme Name	Plan	Option & Sub Option
Cheque / DD No.	Cheque/DD Date	D D / M M / Y Y Y Y
Amount of Cheque/DD (Rs.) (i)	Drawn on Bank/ Branch Name	
DD charges, if any, (Rs.) (ii)		
Total Amount In Words (Rs.) (i)+(ii)		
In figures (Rs.)	Account Type (Please ✓)	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR

### 6. NOMINATION DETAILS (Refer Instruction 6)

I/We do hereby nominate the person described hereunder and cancel the nomination made earlier by us in respect of Units held by me/us.

Nominee Name												
Guardian Name								Relationship				
Address												
City												
Pin Code												
Nominee Date of Birth	D D / M M / Y Y Y Y	Signature of Nominee / Guardian										

### 7. DECLARATION & SIGNATURES

Having read and understood the contents of the Standard Offer Document, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP Merrill Lynch Mutual Fund for Units of the Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP Merrill Lynch Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.

#### Applicable to NRIs only :

I / We confirm that I am / We are Non-Resident(s) of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account(s).

If NRI,   Repatriation basis  Non-Repatriation basis

SIGNATURE (S)

Sole / First Applicant/ Guardian

Second Applicant

Third Applicant

Website: [www.dspmlmutualfund.com](http://www.dspmlmutualfund.com)

Toll Free Number: 1800 345 4499 (MTNL/BSNL Lines)

Alternative Number: 044 3048 2855

email: [dspmlmf@ml.com](mailto:dspmlmf@ml.com)

Local Service Centre: 1901 425 12 34

# First SIP Cheque and Auto Debit Form

First SIP cheque and subsequent via Auto Debit in select cities only.

## REGISTRATION CUM MANDATE FORM FOR ECS (Debit clearing) / Auto Debit/Standing Instructions

BROKER ARN:	Sub Agent's Code	For Office use only
Contact No:		

## INVESTOR AND INVESTMENT DETAILS

Sole / First Investor Name						
Existing Folio No. (If any)						
Scheme						
Plan				Option/Sub option		
Email ID: (In capital)						
Mobile Number:	9	1			(For SMS Alerts)	(For Email Delivery instead of physical account statement.)
	Sole / First Applicant / Guardian		Second Applicant / Guardian		Third Applicant / Guardian	
PAN (Provide attested PAN card copy)						
OR	<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)		<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)		<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)	

## SIP AND BANK DETAILS

Each SIP Amount (Rs.) (Minimum Rs. 2,000/-)		Frequency	<input type="checkbox"/> Monthly (Default)	<input type="checkbox"/> Quarterly
First SIP Cheque No.:		Cheque date	D D / M M / Y Y Y Y	
(Cheque amount same as Auto Debit Amount)		<b>(Note: Cheque should be drawn on bank whose details are provided below) *Default</b>		
SIP Auto Debit Dates:	<input type="checkbox"/> 1 <sup>st</sup> *	<input type="checkbox"/> 7 <sup>th</sup>	<input type="checkbox"/> 14 <sup>th</sup>	<input type="checkbox"/> 21 <sup>st</sup>
	<input type="checkbox"/> All four dates of the month / quarter (minimum six months).			
	Start From		End On	
SIP Period	MM	YY	MM	YY
<b>(Note: Please allow minimum one month for auto debit to register and start.)</b>				
I/We hereby authorise DSP Merrill Lynch Mutual Fund and their authorised service providers to debit my/our following bank account by ECS (Debit Clearing) / auto debit to account for collection of SIP payments.				

## PARTICULARS OF BANK ACCOUNT

Account holder Name as in Bank Account					
Bank Name					
Branch Name					
Account Number				Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO
9 Digit MICR Code	◀ (Please enter the 9 digit number that appears after your cheque number)				
Having read and understood the contents of the Standard Offer Documents, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of DSP Merrill Lynch Mutual Fund mentioned within, I hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS/Auto Debit/Standing Instructions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the user institution responsible, I will also inform DSP Merrill Lynch Mutual Fund, about any changes in my bank account. I have read and agreed to the terms and conditions mentioned overleaf.					
		<b>First Accountholder's Signature</b> (As in Bank Records)			
		<b>Second Accountholder's Signature</b> (As in Bank Records)			
		<b>Third Accountholder's Signature</b> (As in Bank Records)			

## For Office Use only (Not to be filled in by Investor)

Recorded on		Scheme Code	
Recorded by		Credit Account Number	
Bank use Mandate Ref. No.		Customer Ref. No.	

## Authorisation of the Bank Account holder (to be signed by the Account holder)

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) / Auto Debit/Standing Instructions Facility and that my/our payment towards my/our investment in DSP Merrill Lynch Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this mandate form to get it verified & executed.	
Bank Account Number	<b>First Accountholder's Signature</b> (As in Bank Records)
	<b>Second Accountholder's Signature</b> (As in Bank Records)
	<b>Third Accountholder's Signature</b> (As in Bank Records)

## SIP ECS (Debit Clearing) / Auto Debit / Standing Instructions: Terms & Conditions

SIP payment through Electronic Clearing Service (Debit Clearing) of the Reserve Bank of India (RBI)

### List of Cities for SIP Auto Debit Facility via ECS (Debit Clearing)

Agra Ahmedabad Allahabad Amritsar Aurangabad Bangalore Bardwan Baroda Bhilwara Bhopal  
Bhubaneshwar Calicut Chandigarh Chennai Cochin Coimbatore Dehradun Delhi Durgapur Erode  
Gorakhpur Guwahati Gwalior Hubli Hyderabad Indore Jabalpur Jaipur Jalandhar Jammu Jamshedpur  
Jodhpur Kanpur Kolhapur Kolkata Lucknow Ludhiana Madurai Mangalore Mumbai Mysore Nagpur  
Nasik Nellore Panjim Patna Pondicherry Pune Raipur Rajkot Ranchi Salem Shimla Sholapur Siliguri  
Surat Thirupur Tirupati Trichur Trichy Trivandrum Udaipur Varanasi Vijaywada Vizag

In other cities, the facility is available only for account holders of ICICI Bank, IDBI Bank and HDFC Bank.

1. This facility is offered to investors having bank accounts in select cities and banks as mentioned above.
2. Complete application form and SIP Auto debit form along with the first cheque should be submitted to the Fund or the Registrar.
3. Investors should mandatorily give a cheque for the first transaction, drawn on the same bank account as for auto debit /standing instruction.
4. Auto debit /standing instruction will take a minimum of one month for registration with the bank and hence the first auto debit will be carried out only after one month, on the SIP date mentioned on the form. The Fund and the Registrar reserve the right to modify the SIP period depending on the one month period for registration to ensure minimum six instalments.
5. The SIP period should cover minimum 6 transactions, whether monthly or quarterly.
6. For each SIP, it is mandatory to provide PAN of all the applicants, irrespective of the mode of holding. A copy of the PAN card should be enclosed. Investors who do not have a PAN shall provide an acknowledgement photocopy of Form 49A, and if the investment is for a value of Rs. 50,000 or more shall also provide a duly filled Form 60/61 along with address proof. If PAN details are not provided or Form 49A or Form 60/61 is not attached as required, the application is liable to be rejected.
7. The cities in the list may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city is removed, SIP instructions for investors in such cities via ECS (Debit) route will be discontinued without prior notice.
8. The bank account provided for ECS (Debit) should participate in local MICR clearing.
9. SIP auto debit facility is available only on specific dates of the month, viz. 1st /7th / 14th /21st.
10. In case "all four dates" is selected, SIP will be registered for a minimum of 6 months for each of the four days. The auto debit will start after one month only and thereafter on all four dates.
11. The cheque should be drawn on the same bank account which is to be registered for ECS (Debit).
12. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
13. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Fund, execution of the standing instructions (SI) will happen on the day of holiday and allotment of Units will happen as per the terms and conditions listed in the Offer Document of the scheme concerned. The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of bank's computer system, force majeure events, or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
14. Investors will not hold DSP Merrill Lynch Fund Managers Ltd., its Registrar, banks and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS.
15. DSP Merrill Lynch Fund Managers Ltd., its Registrar, banks and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
16. DSP Merrill Lynch Fund Managers Ltd. reserves the right to reject any application without assigning any reason thereof.
17. SIP is not available in DSP Merrill Lynch Liquidity Fund, DSP Merrill Lynch Short Term Fund, DSP Merrill Lynch Floating Rate Fund, DSP Merrill Lynch Liquid Plus Fund - Institutional Plan, DSP Merrill Lynch Small and Mid Cap Fund - Institutional Plan, DSP Merrill Lynch Equity Fund - Institutional Plan, DSP Merrill Lynch Top 100 Equity Fund - Institutional Plan, DSP Merrill Lynch Opportunities Fund - Institutional Plan and DSP Merrill Lynch India T.I.G.E.R. Fund - Institutional Plan.
18. The minimum investment amount for each SIP is Rs. 2000/- in all schemes.
19. Please refer the Key Information Memorandum and Standard Offer Documents for Applicable NAV, Risk Factors, load and other information on the respective Scheme /Plan before investing.
20. Existing Investors are required to submit the SIP Auto debit form, indicating the existing Account Number and investment details in the SIP section of this form along with the mandatory first SIP Cheque.
21. If no end date is specified or in the absence of SIP end date, SIP will be registered for a period of 10 years.
22. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
23. To avail of SIP in separate schemes/plans via auto debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously.
24. To modify the SIP amount /SIP date or to change the auto debit bank, the investor will have to fill a separate form, viz., "Change of Payment Mechanism form" which is available at all the offices of the Fund. Instructions in any other form /manner are liable to be rejected.

NAME OF UNITHOLDER / APPLICANT / GUARDIAN FOLIO NO.

E-mail id to receive transaction confirmation / Account Statements etc.

**(Mandatory for SIPs) (Refer Instructions overleaf and KIM instructions)**

PAN (Provide attested PAN card copy)

Sole / First Applicant / Guardian	Second Applicant / Guardian	Third Applicant / Guardian
<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)	<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)	<input type="checkbox"/> Form 49A acknowledgement copy, and <input type="checkbox"/> Form 60/61 (if investment is ≥ Rs. 50,000)

**1. SYSTEMATIC INVESTMENT PLAN (SIP)** (Separate Cheque required for each investment)

**Scheme** \_\_\_\_\_

**Options** (Please )

Growth\*  Dividend  Payout\*  Re-invest

Monthly Dividend  Payout\*  Re-invest

Quarterly Dividend  \*Default option

Frequency (Please )  Monthly\*  Quarterly

SIP Date:  1st  7th  14th  21st  All four dates of the Month/Quarter (Minimum six months / 24 cheques)

SIP Period From \_\_\_\_\_ To \_\_\_\_\_

Each SIP Amount Rs. \_\_\_\_\_ \*Default options

(Minimum Rs. 2,000/-)

All cheques should be of same date of the months/quarters.

Drawn on \_\_\_\_\_ Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_

**2. SYSTEMATIC WITHDRAWAL PLAN (SWP)** (Please allow 7 days to register SWP)

**Scheme** \_\_\_\_\_

**Options** (Please )

Growth\*  Dividend  Weekly Dividend

Monthly Dividend  Daily Dividend Reinvest

**Withdrawal Amount**

Fixed Sum of Rs. \_\_\_\_\_ (Minimum Rs. 1,000/-)

Capital Appreciation, subject to a Minimum of Rs. 1,000/-

**Withdrawal Dates**  1st\*  7th  14th  21st  All four SWP Dates

**Frequency**  Monthly\*  Quarterly \* Default options

**Withdrawal period starting from** (Period to cover - minimum 6 SWP transactions)

\_\_\_\_\_ / \_\_\_\_\_ To \_\_\_\_\_ / \_\_\_\_\_

**3. SYSTEMATIC TRANSFER PLAN (STP)** (Please allow 7 days to register STP)

**STP From Scheme** \_\_\_\_\_

**Options** (Please )

Growth\*  Dividend  Weekly Dividend  Monthly Dividend

**STP To Scheme** \_\_\_\_\_

**Options based on Scheme & Plan** (Please )

**Options** (Please )

Growth\*  Dividend  Payout\*  Re-invest

Monthly Dividend  Payout\*  Re-invest

Weekly Dividend  Payout\*  Re-invest

Daily Dividend Re-invest  Payout\*  Re-invest

**Transfer Amount**

Fixed Sum of Rs. \_\_\_\_\_ (Minimum Rs. 1,000/-)

Capital Appreciation, subject to a Minimum of Rs. 1,000/-

**Transfer Dates**  1st\*  7th  14th  21st  All four STP Dates

**Frequency**  Monthly\*  Quarterly \* Default options

**Transfer period starting from** (Period to cover - minimum 6 STP transactions)

\_\_\_\_\_ / \_\_\_\_\_ To \_\_\_\_\_ / \_\_\_\_\_

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

**DECLARATION & SIGNATURES**

Having read and understood the contents of the Standard Offer Documents / Key Information Memorandum, Addenda issued till date of the Scheme & Plans mentioned above (including the target Scheme in case of STP) and Instructions overleaf, I / We apply to the Trustee, DSP Merrill Lynch Mutual Fund, for SIP / SWP / STP, as the case may be, and agree to abide by the terms and conditions of SIP / SWP / STP. I / We agree to consider investment through STP as a deemed SIP investment. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment.

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

D D / M M / Y Y Y Y

**SIGNATURE (S)**  
(All Holders to sign)

Sole / First Applicant / Guardian

Second Applicant / Guardian

Third Applicant / Guardian

**SIP / SWP / STP FORM - ACKNOWLEDGEMENT SLIP** (To be filled in by the Investor)

ACCOUNT NO. \_\_\_\_\_ / \_\_\_\_\_

ISC Stamp & Signature

Received from \_\_\_\_\_

An application for  SIP  SWP  STP



## INSTRUCTION FOR SYSTEMATIC INVESTMENT (SIP)

- Minimum Investment Amount for each SIP is Rs. 2000/- in all schemes.
- PAN details of all holders are mandatory for SIP instalments. Kindly refer to detailed instructions under 1(c) and 1(d) in the KIM.
- SIP Facility is available only on specific dates of the month viz 1st / 7th / 14th / 21st.
- All Cheques should be of the same date of month / quarter and for the same amount.
- You can opt for an SIP on a monthly / quarterly basis by issuing post-dated cheques for a pre-defined amount.
- In case "all four dates" is selected, minimum 6 cheques for each date should be given i.e. minimum 24 cheques should be given.
- The Cheques should be drawn in favour of "Name of the Scheme", as applicable.
- A separate SIP Form should be filled in for each Scheme / Plan. Your folio number and name should be mentioned on the reverse of the Cheque.
- New investors investing in a Scheme / Plan using SIP should fill in all the sections in the Common Application Form except the section titled "Investment & Payment Details". Such investors should fill in their investment details in the SIP section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form), indicating the existing Folio / Account Number, PAN details as applicable and investment details in the SIP section.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC WITHDRAWAL PLAN (SWP)

- Please allow upto 7 days for SWP to be registered and the first SWP transaction to happen. Hence form should be submitted atleast 7 days before the SWP start date.
- SWP is available in all the schemes of DSP Merrill Lynch Mutual Fund.
- SWP facility can be availed of only by Unit Holders with a minimum balance of Rs.25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs.25,000/-, SWP will not be registered.
- SWP Facility is available only on specific dates of the month viz. 1st / 7th / 14th / 21st.
- In case of ambiguity / incomplete form, 1st will be treated as default option date.
- You can opt for an SWP for a fixed amount or appreciation on a weekly (on all four SWP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as default option.
- SWP has to be for minimum of SIX transactions irrespective of frequency i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option.
- Your withdrawal request should not be below Rs.1000/-.
- For investors availing of the withdrawal of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000/-, withdrawal as mentioned above, will not be carried out. Even if withdrawal is not carried out due to lack of appreciation, the SWP will be terminated at the end of the specified period.
- New Investors investing in a scheme for the first time and wishing to avail of SWP, should fill in all the sections in the Common Application Form. The withdrawal details should be indicated in the SWP section of this form.
- Existing Investors are required to submit only this form (SIP/SWP/STP Form) indicating the existing Account Number and withdrawal details in the SWP section.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

## INSTRUCTIONS FOR SYSTEMATIC TRANSFER PLAN (STP)

- Please allow upto 7 days for the STP to be registered and first STP transaction to happen. Hence form should be submitted atleast 7 days before STP start date.
- STP is available in all the schemes of DSP Merrill Lynch Mutual Fund.
- STP facility can be availed of only by Unit Holders with a minimum balance of Rs.25,000/- in the folio at the time of SWP registration by the Registrar. If the balance is less than Rs.25,000/-, STP will not be registered.
- STP Facility is available only on specific dates of the month, viz. 1st / 7th / 14th / 21st.
- In case of ambiguity / incomplete form, 1st will be treated as the default option date.
- You can opt for an STP for a fixed amount or appreciation on a weekly (on all four STP dates) / monthly / quarterly basis. In case of ambiguity / incomplete form, monthly option will be treated as the default option.
- STP has to be for a minimum of SIX transactions / transfers irrespective of frequency, i.e. six dates in case of weekly option or six months in case of monthly option or six quarters in case of quarterly option.
- Your transfer request should not be below Rs.1000/-.
- For investors availing of the transfer of 'appreciation' option, where in any week, month or quarter, there is no appreciation or the appreciation is less than Rs.1,000/-, switch as mentioned above, will not be carried out. Even if transfer is not carried out due to lack of appreciation, the STP will be terminated at the end of the specified period.
- If you are investing in a Scheme for the first time and wish to avail of STP, please fill in all the sections in the Common Application Form. The transfer details should be indicated in the STP Section of this form.
- Existing Investors are required to submit only this form (SIP / SWP / STP Form) indicating the existing Account Number and transfer details in the STP section.
- You can choose to discontinue this facility by giving a 15 days' written notice to the Registrar.

**Website: [www.dspmlmutualfund.com](http://www.dspmlmutualfund.com)**  
**email: [dspmlmf@ml.com](mailto:dspmlmf@ml.com)**

**Toll Free Call : 1800 345 4499**  
**Local Service Centre: 1901 425 12 34**